Attorney Docket No. 50269-0520

2155

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in to application of.		
	)	Confirmation No.: 7177
Joseph J. Bai, et al.	)	
	)	Examiner: Bruckart,
Serial No.: 10/017,997	)	Benjamin R.
•	)	•
Filed on: October 30, 2001	)	Group Art Unit No.: 2155
For: COOPERATIVE MANAGEMENT OF	F DISTRIBUTEI	NETWORK CACHES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R	. §1.97(b).
<u>X</u>		. §1.97(c). If so, then this Information Disclosure Statement includes e following:
	<u>X</u>	A statement pursuant to 37 C.F.R. §1.97(e)
		_X 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

	cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
	1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
37 C.F.R the follow	2. §1.97(d). If so, then this Information Disclosure Statement includes wing:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
	1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	AND
	A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
	§1.97(i). Wherein applicants are submitting references before the a patent to be placed in the file but not considered by the Patent office.
1449	ordingly, copies of the references as listed on the attached Form PTO are submitted herewith for placement in the file. No certification or are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

	Respectfully submitted,
Dated: May 3, 2005	HICKMAN PALERMO TRUONG & BECKER LLF
	John D. Herkhaus Reg. No. 42,656
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I hereby certify that this correspondence is being depostage in an envelope addressed to Mail Stop Am 1450 on 4 2005 (Date of Deposit)	deposited with the United States Postal Service as first class mail with sufficient tendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-
Maria Eivers (Typed or printed name of person mailing	agregan adapse)
Maubeven	
(Signature of person mailing corr	espondence)

## INFORMATION DISCLOSURE CITATION ON AN APPLICATION (PTO-1449)

APPLICANT:

50269-0520

**SERIAL NO.** 10/017,997

Joseph J. Bai et al.

ATTY. DOCKET NO.

FILING DATE: October 30, 2001

**GROUP:** 7177

Exam. Cite Initial* No.1	U.S. Patent	Document	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
110.	Number	Kind Code <sup>2</sup> (If known)			
	6,014,698		Griffiths	01-11-2000	
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	No.1	No. <sup>1</sup> Number	No. 1 Number Kind Code <sup>2</sup> (If known)	No. <sup>1</sup> Number Kind Code <sup>2</sup> (If known) of Cited Document	No. 1 Of Cited Document Ocited Document MM-DD-YYYY  (If known)

	FOREIGN PATENT DOCUMENTS							
Exam. Initial*	Cite No. <sup>1</sup>	Office <sup>3</sup>	oreign Patent Number <sup>4</sup>	Nind Code <sup>5</sup> (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Initials*	tials* No <sup>1</sup> item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		Translation
		Canadian Intellectual Property Office, Office Action, Dated March 8, 2005 for Application 2,424,330, request to amend the application, 2 pgs.	
		Current Claims, Canadian Patent Application 2,424,330, Aggregated Management of Distributed Network Caches, pp. 1-12	

Examiner	Date Considered	
Signature	i de la companya de	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.